# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In	re applica	on of: James H. Boschma, Jr.	)
Se	rial No.:	10/150,142	) ) Art Unit
File	ed:	May 17, 2002	) 2821 )
Fo	r:	AEROSTAT DEPLOYMENT APPARATUS	) )
Exa	aminer:	Unknown	) )
Atte	orney Doc	et: 11196.20	
		INFORMATION DISCLOSURE STATEMENT	
		sioner for Patents D. C. 20231	
Sta	The forter	llowing sections are being submitted for this Information	n Disclosure
1.	<u>X</u>	Preliminary Statements	
2.	<u>X</u>	FORM PTO - 1449 (Modified)	
3.		Identification of Prior Application In Which Listed Infor Already Cited and For Which No Copies are Submitted Submitted.	mation Was Or Need be
4.	<u>X</u>	Copies of Listed Information Items Accompanying This S	tatement.
5.		Concise Explanation of Non-English Language Listed Items.	Information
6.		Translation(s) of Non-English Language Documents.	
7.	<u>.</u>	Certification Under 37 C.F.R. §1.97.(e).	
8.		Fee as required under 37 C.F.R §1.17(p).	
9.		Petition requesting consideration of Statement and Petitiset forth in 37 C.F.R. §1.17(i)(1).	ion Fee as
10.	<u>X</u>	Identification of Person(s) Making This Information Statement.	Disclosure

#### **Section 1. Preliminary Statements**

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

FORM PTO-1449 (Modified) Attorney Docket: 11196.20 U.S. Dept of Commerce Serial No.: 10/150,142 Patent and Trademark Office Applicant: James H. Boschma, Jr. Filing Date: May 17, 2002 List Submitted in Accordance Group No.: 2821 with 37 CFR § 1.98 (a)(1) **U.S. Patent Documents** Examiner Patent Issue Initial\* Number Date <u>Patentee</u> AA 3,905,567 09/16/75 Menke et al. AB 4,402,479 09/06/83 Phipps, III. et al. Foreign Patent Documents Document Pub. Number <u>Date</u> Country **Translation** Α Other Documents (including Author, Title, Pertinent Pages, date and place of publication) Α Examiner: Date Considered: \* EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to

applicant.

# Section 4. Copies of Listed Information Items Accompanying This Statement.

Legible copies of all items listed below accompany this information statement.

Patent No.	<u>Date</u>	<u>Name</u>	Country
3,905,567	09/16/75	Menke et al.	USA
4,402,479	09/06/83	Phipps, III. et al.	USA

# Section 10. Identification of P rson(s) Making this Information Disclosure Statement.

The person making this statement is	
(a) the inventor(s	s) who signs below
	SIGNATURE OF INVENTOR
	Name of Inventor
(b) X the attorney wh	no signs below on the basis of:
<u>X</u>	the information supplied by the inventor(s)
	an individual associated with the filing and prosecution of this application (37 CFR 1.56(c))
<u>_X</u>	the information in the attorney's file
	NEIL K. NYDEGGER Attorney for Applicant Registration No. 30,202
	NYDEGGER & ASSOCIATES 348 Olive Street San Diego, CA 92103 Telephone: (619) 688-1300 Facsimile: (619) 688-1322

**PATENT** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:	James H. Boschma, Jr.	)
Serial No.:	10/150,142	) ) Art Unit
Filed:	May 17, 2002	) 2821 )
For:	AEROSTAT DEPLOYMENT APPARATUS	)
Examiner:	Unknown	)
Attorney Docket:	11196.20	)

## CERTIFICATE OF MAILING UNDER 37 CFR § 1.8

I hereby certify that this Information Disclosure Statement (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Hon. Commissioner for Patents, Washington, D. C. 20231, with sufficient postage as first class mail pursuant to 37 CFR 1.8(a) on this \_\_\_\_\_\_ day of September, 2002.

TARYLL ANDERSEN

Administrator



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In	re application of	of: James H. Boschma, Jr.	)
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Atte	omey Docket:	11196.20	)
	SUP	PLEMENTAL INFORMATION DISCLOSURE STATEMEN	<u>T</u>
	n. Commission shington, D. C.		
Dis	The follow closure Statem	ing sections are being submitted for this Supplementations:	al Information
1.	<u>X</u>	Preliminary Statements	
2.	<u>X</u>	FORM PTO - 1449 (Modified)	
3.		Identification of Prior Application In Which Listed Info Already Cited and For Which No Copies are Submitted Submitted.	rmation Was d Or Need be
4.	<u>X</u>	Copies of Listed Information Items Accompanying This S	Statement.
5.	<u>X</u>	Concise Explanation of Non-English Language Listed Items.	d Information
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10.	<u>X</u>	Identification of Person(s) Making This Supplemental	Information

#### Section 1. Preliminary Statements

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

The filing of this Supplemental Information Disclosure Statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

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FORM PTO-1449 (Modified) Attorney Docket: 11196.20 U.S. Dept of Commerce Serial No.: 10/150,142 Patent and Trademark Office Applicant: James H. Boschma, Jr. Filing Date: May 17, 2002 List Submitted in Accordance Group No.: 2821 with 37 CFR § 1.98 (a)(1) **U.S. Patent Documents** Examiner Patent Issue Initial\* Number <u>Date</u> Patentee AA 6,317,080 B1 11/13/2001 Baxter, Jr. Foreign Patent Documents Document Pub. Number Date Country **Translation** AB 2 612 485 09/23/1988 France No Other Documents (including Author, Title, Pertinent Pages, date and place of publication) Α Examiner: Date Considered: \* EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to

applicant.

# Section 4. Copies of Listed Information Items Accompanying This Supplemental Information Disclosure Statement.

Legible copies of all items listed below accompany this Supplemental Information Disclosure Statement.

Patent No.	<u>Date</u>	Name	Country
6,317,080 B1	11/13/2001	Baxter, Jr.	USA
2 612 485	09/23/1988	Bermard	France

Section 5. Concise Explanation of Non-English Language Listed Information Items.

Patent No. <u>Date</u> <u>Name</u> <u>Country</u>

2 612 485 09/23/1988 Bernard France

#### **Identify Document**

We do not have an English translation of this reference. However, from a review of the drawings, we submit that it may be considered relevant for the reason cited below:

1. The disclosed device appears to involve the simultaneous inflation and deployment of a balloon.

### Section 7. Certification Under 37 C.F.R. §1.97(e)

The undersigned hereby certifies that the present Supplemental Information Disclosure Statement is being filed:

- After the mailing date of the first Office Action but before a final rejection or notice of allowance [37 C.F.R. §1.97(c)].
- After a Final Rejection or Notice of Allowance but before payment of the issue fee [37 C.F.R. §1.97 (d)].

The undersigned also certifies the following:

X That each item of information contained in the Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart for an application not more than three (3) months prior to the filing of the Statement.

or

That no item of information contained in the Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(d) more than three (3) months prior to the filing of the Statement.

NEIL K. NYDEOGER
Attorney for Applicant
Registration No. 30,202

NYDEGGER & ASSOCIATES 348 Olive Street San Diego, CA 92103 Telephone: (619) 688-1300 Facsimile: (618) 688-1322

# Section 10. Identification of Person(s) Making this Supplemental Information Disclosure Statement.

e person making this statement is
(a) the inventor(s) who signs below
SIGNATURE OF INVENTOR
Name of Inventor
(b) X the attorney who signs below on the basis of:
X the information supplied by the inventor(s)
an individual associated with the filing and prosecution of this application (37 CFR 1.56(c))
X the information in the attorney's file
NEIL K. NYDEGGER Attorney for Applicant Registration No. 30,202
NYDEGGER & ASSOCIATES 348 Olive Street San Diego, CA 92103 Telephone: (619) 688-1300 Facsimile: (619) 688-1322

**PATENT** 

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TARYL L. ANDERSEN